FORM PTO-1390

(REV. 2-2005)

U.S. Patent and Tendemark Office; U.S. DEPARTMENT OF CO

P/1228-200 LICATION NO. (If known, see 37 CFR 1.5)

10/535049

INTERNATIONAL APPLICATION NO.

PCT/SE2003/001782

INTERNATIONAL FILING DATE **18 November 2003** 

PRIORITY DATE CLAIMED

21 November 2002

TITLE OF INVENTION

## PROTECTIVE SHIELD FOR A DISC BRAKE AND A DISC BRAKE INCLUDING SUCH A PROTECTIVE SHIELD

APPLICANT(S) FOR DO/EO/US

## **UIF SAMUELSSON**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- 3. [X] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

- a. [X] is attached hereto (required only if not communicated by the International Bureau).
- b. [ ] has been communicated by the International Bureau.
- c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [ ] is attached hereto.
  - b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [ ] are attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] have been communicated by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [ ] have not been made and will not be made.
- 8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [ ]:An (Executed) oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [ ] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern other document(s) or information included:

- 11. [ ] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment.
- 14. [ ] An Application Data Sheet under 37 CFR 1.76
- 15. A substitute specification.
- 16. [ ] A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
- 18. [ ] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. [ ] A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information (specify): 4 sheet of drawings; EFS form; postcard; Copy of publication; PTO 1449 with 4 references; Inventor Designation Sheet; Copy of International Preliminary Report

## **EXPRESS MAIL CERTIFICATE**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EV343724101US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 1450, on May 13, 2005:

**Dorothy Jenkins** 

Name of Person Mailing Correspondence

Date of Signature

The following fees have been submitted 21. [X] Basic national fee. 22. [X] Examination and fee. 23. [X] Search reformational preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). All other situations.  \$200.00    Submitted   Su			***		r	JC1	4 Re	c'd PCT/PT	0 13 MAY	Y 2005
The following fees have been submitted 21. [X] Basin national fee. 22. [X] Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). 3100 31. [V] Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). 3100 31. [V] Search fee Search fee (7 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. 3100 31. [V] Search fee Search fee (7 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. 3100 3101 3102 31. [V] Search fee Search fee (7 CFR 1.445(a)(2)) has been paid on the international searching Authority. 3100 3102 31. [V] Search fee Search fee (7 CFR 1.445(a)(2)) has been paid on the international searching Authority. 3100 3102 31. [V] Search fee Search fee (7 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. 3100 3102 31. [V] Search fee Search fee (7 CFR 1.492(c)). 3102 3103 3103 3103 3103 3103 3103 3103	U.S. APPLICATION NO. (If known see 23 CER. 1.5) INTERNATIONAL APPLICATION NO.							TION NO.	ATTORNEY'S DOCKET NUMBER	
21.   X    Basic national fee.									CALCULATIONS	PTO USE ONLY
22. [X] Examination fee									\$300.00	
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 3(3)(-)(4)									6200.00	
All other situations									\$200.00	
23.   X    Search fee   Searc	provisions of PCT Article 33(1)-(4)									
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority									\$400.00	
International Search Report prepared and provided to the Office	Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an									
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 30 sheets of paper or iraction thereof.    Total Sheets	International Search Report prepared and provided to the Office \$400									
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sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper of fraction thereof.  Total Sheets Extra sheets Number of each additional 50 or fraction thereof fround up to a whole number)  - 100 =   750 =   x \$250   x \$25	·									
Total Sheets   Extra sheets   Number of each additional 50 or fraction thereof (round up to a whole number)   x \$250   \$    Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  CLAIMS   NUMBER FILED   NUMBER EXTRA   RATE   \$    Total Claims   11 - 20 =	sequence listing or computer program listing filed in an electronic medium). The fee is \$250									
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE \$  Total Claims 11 - 20 = 0 x \$ 50 \$  Independent Claims 1 - 3 = 0 x \$ 200 \$  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$  TOTAL OF ABOVE CALCULATIONS = \$  [] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  SUBTOTAL = \$900.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). + TOTAL NATIONAL FEE = \$900.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). + TOTAL NATIONAL FEE = \$900.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). + TOTAL NATIONAL FEE = \$900.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the carliest claimed priority date (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED = \$900.00  Amount to be refunded: Amount to be charged: to cover the above fees is enclosed.  b. [] Please charge my Deposit Account No. 15-0700 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. [X] The Commissioner is hereby subtrized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.38 has not been met, a petition to revive (37 CFR 1.137(e) or (b)) must be filed and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO: Robert C. Faber Ostrolenk, Faber, Gerb & Soffen, LLP Robert C. Faber Registration Number: 24,322 May				Number of each additional 50 or RAT				RATE		
CLAIMS NUMBER FILED NUMBER EXTRA RATE \$  Total Claims 11 - 20 = 0 x \$ 50 \$  Independent Claims 1 - 3 = 0 x \$ 200 \$  MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$360 \$  TOTAL OF ABOVE CALCULATIONS = \$  [] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  SUBTOTAL = \$900.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +  TOTAL NATIONAL FEE = \$900.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +  TOTAL FEES ENCLOSED = \$900.00  Amount to be refunded: Amount to be charged:  a. [X] A check (check number £05£0.) in the amount \$900.00 to cover the above fees is enclosed.  b. [] Please charge my Deposit Account No. 15-0700 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.494 has not been met, a perition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO: Robert C. Faber Ostrolenk, Faber, Gerb & Soffen, LLP 1180 Avenue of the Americas New York New York 10036-8402 Registration Number: 24,322 May 13, 2005	- 100 =	100 = /50 =		Traction thereof (tound up to a whole			11001)	x \$250	\$	
Total Claims  11 - 20 = 0										
Independent Claims 1 - 3 = 0 x \$200 \$  MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$360 \$  TOTAL OF ABOVE CALCULATIONS = \$  [] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  SUBTOTAL = \$900.00 \$  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +  TOTAL NATIONAL FEE = \$900.00 \$  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +  TOTAL FEES ENCLOSED = \$900.00 \$  Amount to be refunded: Amount to be charged: to cover the above fees is enclosed.  a. [X] A check (check number \$\frac{105 \int 0}{2}\$) in the amount \$\frac{9}{2}\$ 900.00 to cover the above fees is enclosed.  b. [] Please charge my Deposit Account No. 15-0700 in the amount of \$\frac{1}{2}\$ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  SEND ALC CORRESPONDENCE TO: Robert C. Faber Ostrolenk, Faber, Gerb & Soffen, LLP Robert C. Faber Registration Number: 24,322 May 13, 2005	CLAIMS		NUMBER FILED		NUMBER	EXTRA	RATE		\$	
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Ostrolenk, Faber, Gerb & Soffen, LLP  1180 Avenue of the Americas  New York New York 10036-8402  New York New York 10036-8402  May 13, 2005	<ul> <li>b. [] Please charge my Deposit Account No. 15-0700 in the amount of \$ to cover the above feed A duplicate copy of this sheet is enclosed.</li> <li>c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed.</li> <li>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or a second content.</li> </ul>									nd granted to
	Ostrolenk, Faber, Gerb & Soffen, LLP 1180 Avenue of the Americas									2005